

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.:

09/765,802

Filed:

January 19, 2001

For:

SYSTEM AND METHOD TO

DETERMINE THE VALIDITY OF AN INTERACTION ON A

**NETWORK** 

Attorney Docket No: 9623-314

Art Unit: 2165

Examiner: Thuy Pardo

### SEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(d), Applicant hereby cites the following reference(s):

#### **FOREIGN PATENTS**

DOCUMENT NUMBER Number-Kind Code (If known)	DATE	COUNTRY
CN 1209298A	02/24/1999	CHINA
WO 00/54458	09/14/2000	WIPO

#### OTHER ART REFERENCES

Examination Report from corresponding Canadian patent Application No. 2400199, dated Dec. 1, 2004, 4 pages.

Search Report from corresponding European patent Application No. EP 01909169.3, dated Feb. 11, 2004, 3 pages.

Examination Report from corresponding Great Britain patent Application No. GB0220998.0, dated Dec. 10, 2004, 2 pages.

Debar, Hervé et al., "Towards a taxonomy of intrusion-detection systems", Computer Networks, Vol. 31, 1999, pp. 805-822.

Denning, Dorothy, E., "An Intrusion-Detection Model", IEEE Transactions of Software Engineering, 1987, Vol. SE-13, No. 2, Pages 222-232.

Helman, Paul et al., "Statistical Foundations of Audit Trail Analysis for the Detection of Computer Misuse", IEEE Transactions on Software Engineering, 1993 Vol. 19, pages 886-901 Sarle, Warren S., "Neural Networks and Statistical Models", Proceedings of the 19th Annual

SAS Users Group Inc. Conference, 1994, pp 1-13.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Each reference is in English, with the exception of Chinese No. 1209298 in which an English language Abstract is enclosed along with the Chinese patent. No further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant is filing this statement with a Request For Continued Examination and therefore no fees are believed to be required. However, the Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this statement (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

June 9, 2005

Date

/incent J. Gnoffo (Reg. No. 44.

FORM PTO-1449	SERIAL NO.	CASE NO.
REDEMARK	09/765,802	9623/314
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	January 19, 2001	2165
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Stephen Dollov	

REFERENCE DESIGNATION	U.S. PATENT
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REFERENCE DESIGNATION		U.S. PATENT	U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUI NUMI Number-Kind Co	BER DAT	E	NAME	CLASS/ SUBCLASS	FILING DATE

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	G1	CN 1209298A	02/24/1999	CHINA		Abstract
	G2	WO 00/54458	09/14/2000	WIPO		
1						

EXAMINER INITIAL	OTHER ART — NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.			
	G3	Examination Report from corresponding Canadian patent Application No. 2400199, dated Dec. 1, 2004, 4 pages.		
	G4 Search Report from corresponding European patent Application No. EP 01909169.3, d Feb. 11, 2004, 3 pages.			
	G5	Examination Report from corresponding Great Britain patent Application No. GB0220998.0, dated Dec. 10, 2004, 2 pages.		
	G6 Debar, Hervé et al., "Towards a taxonomy of intrusion-detection systems", Comp. Vol. 31, 1999, pp. 805-822.			
	G7	Denning, Dorothy, E., "An Intrusion-Detection Model", IEEE Transactions of Software Engineering, 1987, Vol. SE-13, No. 2, Pages 222-232.		
	G8	Helman, Paul et al., "Statistical Foundations of Audit Trail Analysis for the Detection of Computer Misuse", <i>IEEE Transactions on Software Engineering</i> , 1993 Vol. 19, pages 886-901		
	G9	Sarle, Warren S., "Neural Networks and Statistical Models", Proceedings of the 19 <sup>th</sup> Annual SAS Users Group Inc. Conference, 1994, pp 1-13.		

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.